Silicon dioxide, SiO$_2$

Sand (silica) – one of the most common minerals in the earth.

Main component in common glass
• mixed with sodium carbonate and calcium oxide (lime) to make soda-lime glass for window panes, bottles, drinking glasses, etc.

Main component in optical fibers

Crystalline SiO$_2$ is *quartz*
• fancy drink ware and artwork
• crystal oscillators using the piezoelectricity)
  http://en.wikipedia.org/wiki/Crystal_oscillator
• Laboratory equipment

Food additive (?!)

Microelectronics
• thin electrical insulator (MOSFET gate)
• diffusion barrier
SiO\(_2\) structure

Tetrahedral arrangement with one silicon bonded to four oxygen atoms.

Most oxygen atoms will be bonded to two silicon atoms, so that two tetrahedra are joined at a corner. (bridging atoms)

The orientation can be random, leading to an amorphous structure. Some oxygen atoms will be bonded to only one silicon atom (non-bridging atoms). The relative amounts of bridging to non-bridging determines the “quality” of the oxide.

If all oxygen atoms are bridging, then a regular crystal structure results – quartz.
SiO$_2$ properties

- In microelectronics, we use thin layers of pure SiO$_2$. The layers are amorphous (fused silica)
- Density: 2.0 - 2.3 gm/cm$^3$
- Dielectric constant at low frequencies: $\varepsilon_r = 3.9$ (remember this!) refractive index at optical wavelengths: $n \approx 1.5$
- Breakdown field: $> 10^7$ V/cm (1 V across 1 nm)
- The interface with silicon always results in electronic trap levels and some negative interface charge. Typical interface defect density $\approx 10^{11}$ cm$^{-2}$. This is not a high density of defects at an interface. It can be made even lower by annealing in hydrogen.

The combination of the relatively good electrical properties of silicon, the excellent insulating properties of SiO$_2$, and the low-defect interface between them is the key ingredient of modern integrated circuit electronics.
**Oxidation of silicon**

There are several ways to form a layer of SiO$_2$ on the surface of silicon. The two pre-dominate methods are:

- **Thermal oxidation of silicon** - react silicon from the wafer with oxygen to create oxide.
- **Direct deposition of a thin oxide film by chemical vapor deposition.** (We’ll discuss this in detail later.)

Thermal oxidation is a simple process - introduce an oxidizing atmosphere to the surface of the silicon with sufficient temperature to make the oxidation rate practical. There are two commonly used variants:

- **Pure oxygen:** $\text{Si} + \text{O}_2 \Rightarrow \text{SiO}_2$ (dry oxidation)
- **Water vapor:** $\text{Si} + 2\text{H}_2\text{O} \Rightarrow \text{SiO}_2 + 2\text{H}_2$ (wet oxidation)

Typical oxide thicknesses range from a few nanometers to more than 1 micron. The details of the process and a mathematical model are presented in the next lecture.
Consumption of the silicon substrate

• In the reaction forming SiO$_2$, silicon atoms at the surface of the wafer combine with oxygen and are incorporated into the oxide film. For a given volume of SiO$_2$ that is formed, a corresponding volume of the silicon substrate is lost.

• In crystalline silicon, each silicon atom corresponds to a volume of $2 \times 10^{-23}$ cm$^3$ (= 0.02 nm$^3$). In SiO$_2$, each silicon atom corresponds to a volume of about $4.4 \times 10^{-23}$ cm$^3$, depending on the density of the oxide, or about 2.2 times more than the volume in the silicon.

• However, as the SiO$_2$ is forming, it cannot expand in all directions equally – it is constrained in the plane of the wafer. So all of the volume difference is taken up by expansion in the vertical direction.
Thinking about this in reverse, for a given thickness of oxide, $t_{ox}$, the fraction of the thickness that corresponds to consumed silicon is $1/2.2$ or 0.455. So, in growing the oxide, $0.455t_{ox}$ of the final silicon dioxide thickness corresponds to silicon that was “lost”. The grown oxide extends an additional $0.545t_{ox}$ above the original surface of the silicon.

![Diagram showing the relationship between oxide thickness and silicon layer thickness](image.png)
Steps at the surfaces and interfaces

Grow an oxide layer. Then pattern and etch away the exposed region.

Then grow a second oxide layer. The oxide in the exposed region grows faster than in the other area.

Steps are created at both the oxide surface and the Si/SiO$_2$ interface.